

Minutes: Scottish Charity Regulator (OSCR) Board meeting, 21 June 2017, Dundee

PAPER NUMBER: 2017-09-13/BOARD/480

Board Members present: The Very Rev Dr Graham Forbes (Chair), Prof. David Harrison (Vice Chair); Pat Armstrong, Dr. Sophie Flemig, Prof. Stuart Cross, Jessica Wade, Shona Ulrichsen

OSCR attendance: Martin Tyson, Head of Casework;
Judith Turbyne, Head of Engagement & Targeted Response Unit; Judith Hayhow, Head of Support Services, Laura Anderson, Head of Professional Advice & Intelligence & Moira Cathcart, Senior Legal Advisor.

Julie Hutchison, Standard Life (by telephone, item 4 only)

Apologies: David Robb, Chief Executive, Nicola McBain & Louise Meikleham, (Joint) Head of Change

Board Secretary: Morag Stewart

Preliminaries		
Agenda item	Area	Action
1.	Chairman's introduction Graham welcomed the Board members. Apologies received from David Robb, Chief Executive, Nicola McBain & Louise Meikleham, (Joint) Head of Change	
2.	Declaration of interest Declarations of interest were noted from Stuart Cross, Graham Forbes, David Harrison & Sophie Flemig in respect of higher education institutions.	
3.	Minutes of previous meetings: 15 Mar 2017 (472) Approved. Minutes to be uploaded to website.	MS
4.	Public Appointments Pilot – Investment (473) The Board noted and discussed the content of the paper. They agreed to the establishment of this working group. Agreed: Sophie Flemig and Stuart Cross as Board representatives on this group.	

	<p>Board members to feedback to Jude Turbyne names of possible charity representatives (treasurer/finance directors) and/or financial or investment advisors for this working group.</p> <p>Internal meeting will be held by the end of July to sort out final details and consider the proposed representatives, with an initial meeting with the whole working group being held as soon as possible after that.</p>	<p>JT</p> <p>ALL Board</p>
5.	<p>Matters arising & environmental scanning The Board members gave updates on issues of relevance to OSCR's work from their recent experience and personal perspectives.</p> <p>Themes discussed included: charity funding and the impact of austerity measures on frontline services; the Great Repeal Bill; apprenticeships; and SCVO reports on the future of social care in Scotland,</p> <p>There was a short discussion around the modernisation of the Act and likely approaches OSCR might take to maximise the opportunity to update the legislation or consider introducing new regulations. A paper is being drafted for consideration by Angela Constance MSP. A copy of this is to be sent to Leslie Evans, SG Permanent Secretary.</p>	<p>MC</p>
6.	<p>Chief Executive's report (474) The Board noted the content of the paper.</p> <p>Registration and Enforcement cases: verbal updates were given on significant cases and recent developments.</p> <p>There was discussion around improving our engagement with local authority councillors who also act as charity trustees so that they can better understand their roles. OSCR will consider if there is a potential supporting role for the Improvement Service in this engagement work.</p> <p>Engagement: verbal updates were given; including details of OSCR's Meet the Charity Regulator events.</p> <p>The Board noted that work had begun on updating the Fundraising guidance. Agreed: Shona Ulrichsen should be the Board representative on the Fundraising Guidance group.</p>	<p>MT & JT</p>

	<p>The Board heard that there is growing interest from charities regarding GDPR as well as an increase in general anxiety around the incoming legislation and its likely impact on charities. General engagement work around the legislation has begun, including identifying and sharing signposting opportunities to other organisations. Board members were asked to provide any good examples of organisations with sound advice and tips regarding GDPR to Jude Turbyne.</p> <p>Fundraising Panel: a short verbal update was provided to the Board.</p> <p>Board members were interested in the amount of contact that OSCR is receiving regarding pension deficit/financial problems at present through the notifiable events regime. Figures to be shared with the Board.</p> <p>Online applications project: a short verbal update was provided to the Board on this project. The final draft of the DTS options appraisal is to be shared with the Board when available.</p>	<p>ALL</p> <p>JT</p> <p>MT</p>
7.	<p>Performance Management Report (475) The Board noted the contents of the paper.</p>	
8.	<p>Annual Report & Accounts 2016-17 (476) The Board noted the contents of the paper and were asked to share any amends with Judith Hayhow after the meeting.</p>	ALL
9.	<p>Annual Report by Audit Committee to Board (477) The Board noted the contents of the paper</p> <p>Agreed: Board agreed to all of the recommendations contained within the paper.</p>	
10.	<p>Risk Registers (478) The Board noted the contents of the paper and reviewed the Risk Registers.</p> <p>Slight amends to the wording of some risks was suggested and a new risk regarding GDPR was identified. Registers to be updated in light of feedback received.</p>	JH

11.	<p>Digital Journeys for Regulators (479) Sophie Flemig gave a brief presentation on the meeting held by the subgroup in early June.</p> <p>Agreed: the Board agreed to delegate this particular piece of work to the sub group to take forward and report back on. Membership of the subgroup is to be widened to better reflect the skills & expertise of OSCR staff.</p>	<p>Sub group (by Sept Board)</p>
12.	<p>Other business</p> <p>New Board Members: in preparation for the upcoming Board Member recruitment drive, Board Members were provided with a late paper containing a draft Board Member Person Specification. Members were asked to consider the spec and to provide the Chair with any comments as soon as possible.</p> <p>The Board would like to explore an open event in support of the upcoming Board Member recruitment drive. This option is to be considered further.</p> <p>The Board would like to explore holding at least one Board meeting each year in public. JH advised that this was in line with the External Audit recommendations. Proposal paper to be drafted and provided at Sept Board.</p>	<p>ALL</p> <p>JT</p> <p>JH</p>